

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : John S. HENDRICKS et al. Examiner: Not Assigned
Serial No. : 09/400,296 Art Unit: 2766
Filed : September 21, 1990 Docket No.: 5283
For : ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION
SYSTEM

Assistant Commissioner of Patents
Washington, D.C. 20231

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued reflecting the correct continuing application information as listed on the attached copy of the Declaration/Power of Attorney. The continuing application data should include the following prior applications:

THIS APPLN IS A CIP OF	07/991,074	12/09/92; AND OF
	08/336,247	11/07/94; AND OF
	08/160,194	12/02/93; AND OF
	08/906,469	08/05/97; AND OF
	09/191,520	11/13/98

Evidence and support for this information can additionally be found in the first page of the specification (a copy of which is attached hereto).

Respectfully Submitted,

John K. Harrop
Registration No. 41,817
DORSEY & WHITNEY LLP
1001 Pennsylvania Avenue N.W.
Suite 300 South
Washington, D.C. 20004
(202)824-8800

Date: December 15, 1999

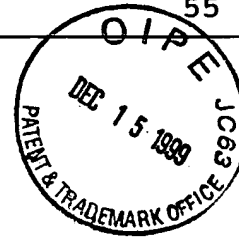
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/400,296	09/21/99	2766	\$3,880.00	5283	55	189	4

JOHN K HARROP
DORSEY & WHITNEY LLP
1001 PENNSYLVANIA AVE NW STE 300 SOUTH
WASHINGTON DC 20004



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JOHN S. HENDRICKS, POTOMAC, MD; MICHAEL L. ASMUSSEN,
HERNDON, VA.

CONTINUING DATA AS CLAIMED BY APPLICANT-
THIS APPLN IS A CIP OF 07/991,074 12/09/92

TITLE
ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION SYSTEM

PRELIMINARY CLASS: 380

DOCKETED

DATA ENTRY BY: JOHNSON, ANGELA TEAM: 03 DATE: 10/07/99

ACR
11/4/99

(See reverse for new important information)

#sectd
#3

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
5283

In Re Application Of: John S. Hendricks et al.

DEC 15 1999

Serial No.
09/400,296

Filing Date
September 21, 1999

Examiner
Not Assigned

Group Art Unit
2766

Invention: **ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
October 15, 1999
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Assignment

#3

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
5283

In Re Application Of: **John S. Hendricks et al.**

Serial No.
09/400,296

Filing Date
September 21, 1999

Examiner
Not Assigned

Group Art Unit
2766

Invention: **ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application



Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

#3

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
5283

In Re Application Of: John S. Hendricks et al.

Serial No.
09/400,296

Filing Date
September 21, 1999

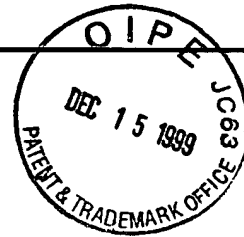
Examiner
Not Assigned

Group Art Unit
2766

Invention: ELECTRONIC BOOK SECURITY AND COPYRIGHT PROTECTION SYSTEM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts



The fee of \$130 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1425
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.

John K. Harrop
Signature

Dated: December 15, 1999

John K. Harrop
Registration No. 41,817
DORSEY & WHITNEY LLP
1001 Pennsylvania Avenue N.W.
Suite 300 South
Washington, D.C. 20004

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc:



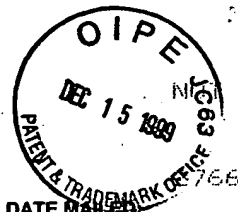
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/400,296 09/21/99 HENDRICKS J 5283

0222/1015

JOHN K HARROP
DORSEY & WHITNEY LLP
1001 PENNSYLVANIA AVE NW STE 300 SOUTH
WASHINGTON DC 20004



NOT ASSIGNED

10/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
- \$ _____ for _____ total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

A. Johnson

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/16/1999 161720 00000094 09400236 130.00 00
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